

AO 88B (Rev. 02/14) Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises in a Civil Action

UNITED STATES DISTRICT COURT

for the
Northern District of Ohio

Kathryn Carmen, et al.

Plaintiff

v.

Amy Carmen

Defendant

Civil Action No. 1:24-cv-01331

SUBPOENA TO PRODUCE DOCUMENTS, INFORMATION, OR OBJECTS
OR TO PERMIT INSPECTION OF PREMISES IN A CIVIL ACTION

To:

Bank

South Dakota 57104

(Name of person to whom this subpoena is directed)

☒ **Production:** **YOU ARE COMMANDED** to produce at the time, date, and place set forth below the following documents, electronically stored information, or objects, and to permit inspection, copying, testing, or sampling of the material:

See Exhibit A attached.

Place: [REDACTED] (or as otherwise agreed between the parties)	Date and Time: 01/21/2025 5:00 pm
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☐ **Inspection of Premises:** **YOU ARE COMMANDED** to permit entry onto the designated premises, land, or other property possessed or controlled by you at the time, date, and location set forth below, so that the requesting party may inspect, measure, survey, photograph, test, or sample the property or any designated object or operation on it.

Place:	Date and Time:
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The following provisions of Fed. R. Civ. P. 45 are attached – Rule 45(c), relating to the place of compliance; Rule 45(d), relating to your protection as a person subject to a subpoena; and Rule 45(e) and (g), relating to your duty to respond to this subpoena and the potential consequences of not doing so.

Date: 12/23/2024

CLERK OF COURT

OR

Signature of Clerk or Deputy Clerk

Attorney's signature

The name, address, e-mail address, and telephone number of the attorney representing (name of party) Kathryn and Clayton Carmen (Plaintiffs), who issues or requests this subpoena, are:

Richard N. Selby, Esq., Dworken & Bernstein Co., LPA., 60 S. Park Place, Painesville, Ohio 44077; Ph: 440-352-3391; Email: rselby@dworkenlaw.com

Notice to the person who issues or requests this subpoena

If this subpoena commands the production of documents, electronically stored information, or tangible things or the inspection of premises before trial, a notice and a copy of the subpoena must be served on each party in this case before it is served on the person to whom it is directed. Fed. R. Civ. P. 45(a)(4).

EXHIBIT 1

Civil Action No. 1:24-cv-01331

PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 45.)

I received this subpoena for (name of individual and title, if any) [REDACTED] Bank [REDACTED]
on (date) _____.

☐ I served the subpoena by delivering a copy to the named person as follows: _____

_____ on (date) _____; or

☐ I returned the subpoena unexecuted because: _____

Unless the subpoena was issued on behalf of the United States, or one of its officers or agents, I have also
tendered to the witness the fees for one day's attendance, and the mileage allowed by law, in the amount of
\$ _____.

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ 0.00 .

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc.:

Federal Rule of Civil Procedure 45 (c), (d), (e), and (g) (Effective 12/1/13)**(c) Place of Compliance.**

(1) For a Trial, Hearing, or Deposition. A subpoena may command a person to attend a trial, hearing, or deposition only as follows:

(A) within 100 miles of where the person resides, is employed, or regularly transacts business in person; or

(B) within the state where the person resides, is employed, or regularly transacts business in person, if the person

(i) is a party or a party's officer; or

(ii) is commanded to attend a trial and would not incur substantial expense.

(2) For Other Discovery. A subpoena may command:

(A) production of documents, electronically stored information, or tangible things at a place within 100 miles of where the person resides, is employed, or regularly transacts business in person; and

(B) inspection of premises at the premises to be inspected.

(d) Protecting a Person Subject to a Subpoena; Enforcement.

(1) Avoiding Undue Burden or Expense; Sanctions. A party or attorney responsible for issuing and serving a subpoena must take reasonable steps to avoid imposing undue burden or expense on a person subject to the subpoena. The court for the district where compliance is required must enforce this duty and impose an appropriate sanction—which may include lost earnings and reasonable attorney's fees—on a party or attorney who fails to comply.

(2) Command to Produce Materials or Permit Inspection.

(A) *Appearance Not Required.* A person commanded to produce documents, electronically stored information, or tangible things, or to permit the inspection of premises, need not appear in person at the place of production or inspection unless also commanded to appear for a deposition, hearing, or trial.

(B) *Objections.* A person commanded to produce documents or tangible things or to permit inspection may serve on the party or attorney designated in the subpoena a written objection to inspecting, copying, testing, or sampling any or all of the materials or to inspecting the premises—or to producing electronically stored information in the form or forms requested. The objection must be served before the earlier of the time specified for compliance or 14 days after the subpoena is served. If an objection is made, the following rules apply:

(i) At any time, on notice to the commanded person, the serving party may move the court for the district where compliance is required for an order compelling production or inspection.

(ii) These acts may be required only as directed in the order, and the order must protect a person who is neither a party nor a party's officer from significant expense resulting from compliance.

(3) Quashing or Modifying a Subpoena.

(A) *When Required.* On timely motion, the court for the district where compliance is required must quash or modify a subpoena that:

(i) fails to allow a reasonable time to comply;

(ii) requires a person to comply beyond the geographical limits specified in Rule 45(c);

(iii) requires disclosure of privileged or other protected matter, if no exception or waiver applies; or

(iv) subjects a person to undue burden.

(B) *When Permitted.* To protect a person subject to or affected by a subpoena, the court for the district where compliance is required may, on motion, quash or modify the subpoena if it requires:

(i) disclosing a trade secret or other confidential research, development, or commercial information; or

(ii) disclosing an unretained expert's opinion or information that does not describe specific occurrences in dispute and results from the expert's study that was not requested by a party.

(C) *Specifying Conditions as an Alternative.* In the circumstances described in Rule 45(d)(3)(B), the court may, instead of quashing or modifying a subpoena, order appearance or production under specified conditions if the serving party:

(i) shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship; and

(ii) ensures that the subpoenaed person will be reasonably compensated.

(e) Duties in Responding to a Subpoena.

(1) Producing Documents or Electronically Stored Information. These procedures apply to producing documents or electronically stored information:

(A) *Documents.* A person responding to a subpoena to produce documents must produce them as they are kept in the ordinary course of business or must organize and label them to correspond to the categories in the demand.

(B) *Form for Producing Electronically Stored Information Not Specified.* If a subpoena does not specify a form for producing electronically stored information, the person responding must produce it in a form or forms in which it is ordinarily maintained or in a reasonably usable form or forms.

(C) *Electronically Stored Information Produced in Only One Form.* The person responding need not produce the same electronically stored information in more than one form.

(D) *Inaccessible Electronically Stored Information.* The person responding need not provide discovery of electronically stored information from sources that the person identifies as not reasonably accessible because of undue burden or cost. On motion to compel discovery or for a protective order, the person responding must show that the information is not reasonably accessible because of undue burden or cost. If that showing is made, the court may nonetheless order discovery from such sources if the requesting party shows good cause, considering the limitations of Rule 26(b)(2)(C). The court may specify conditions for the discovery.

(2) Claiming Privilege or Protection.

(A) *Information Withheld.* A person withholding subpoenaed information under a claim that it is privileged or subject to protection as trial-preparation material must:

(i) expressly make the claim; and

(ii) describe the nature of the withheld documents, communications, or tangible things in a manner that, without revealing information itself privileged or protected, will enable the parties to assess the claim.

(B) *Information Produced.* If information produced in response to a subpoena is subject to a claim of privilege or of protection as trial-preparation material, the person making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party must promptly return, sequester, or destroy the specified information and any copies it has; must not use or disclose the information until the claim is resolved; must take reasonable steps to retrieve the information if the party disclosed it before being notified; and may promptly present the information under seal to the court for the district where compliance is required for a determination of the claim. The person who produced the information must preserve the information until the claim is resolved.

(g) Contempt.

The court for the district where compliance is required—and also, after a motion is transferred, the issuing court—may hold in contempt a person who, having been served, fails without adequate excuse to obey the subpoena or an order related to it.

EXHIBIT "A"

DEFINITIONS

(a) "Persons" means natural persons, corporations, partnerships, sole proprietorships, unions, associates, federations, government agencies, or any other kind of entity.

(b) "Document" means any printed, typewritten, handwritten, or otherwise recorded matter of whatever character, or anything else discoverable pursuant to Fed. R. Civ. P. 34, including, without limitation, letters, purchase orders, notes, memoranda, telegrams, catalogues, brochures, diaries, reports, calendars, inter-office communications, statements, announcements, photographs, tape recordings, motion pictures, electronically stored information accessible by computer, including, but not limited to, word processing files, data files and e-mail, and any carbon or photographic copies of any such material if you do not have custody or control of the original.

(c) The word "Identify," when used in reference to a document, means to state (1) its date, (2) its author, (3) the type of document (e.g., letter, memoranda, receipt, invoice, schedule, report, telegraph, chart, photograph, sound reproduction, note, etc.), and (4) its present location, and the name of its present custodian or each if there is more than one copy thereof. If any such document was, but is no longer in your possession or subject to your control, or it is no longer in existence, state whether it is (a) missing or lost, (b) destroyed, (c) transmitted or transferred voluntarily or involuntarily to others, identifying such others, or (d) otherwise disposed of, and in each instance, explain the circumstances surrounding the authorization for such disposition and state the date or approximate date thereof. If any of the above is not available to you, state any available means of identifying such documents.

(d) The word "Identify," when used herein in reference to a natural person, means to state (1) his/her full name and present or last known address of residence, telephone number, and social security number, and (2) his/her present or last known business affiliation and position therewith. If any of the above information is not available to you, state any other available means of identifying such natural person.

(e) The word "Identify," when used in reference to a person (as defined above) other than a natural person, means to state (1) its full name, (2) nature of its organization including the name of the state under which same was organized, (3) its address(es), (4) the address of its principal place of business, and (5) its principal line of business. If any of the above information is not available to you, state any other available means of identifying such person.

(f) The word "Date," when used herein shall include the day, month, and year of the occurrence to which the interrogatory refers. If any of the information referred to above is unavailable so state, and give us as much information as possible to identify the period of the occurrence.

(g) The words "You" and "Your" when used herein shall refer to the person responding to these requests on behalf of [REDACTED] Bank in [REDACTED] and/or anyone acting on behalf of [REDACTED] Bank in [REDACTED]. "You" and "Your" shall also include all affiliates,

parents, representatives, agents, employees, contractors, subcontractors, successors and assigns of [REDACTED] Bank [REDACTED].

(h) The word "Communication(s)" means, without limitation, all inquiries, discussions, conferences, conversations, negotiations, agreements, meetings, interviews, telephone conversations, letters, correspondence, electronic mail, memoranda notes, telegrams, telexes, text messages, social media posts, social media private messages, or other forms of communication, including, but not limited to, both oral and written communications. Communication shall also include any exchange of information between and among individuals or other entities and shall include but not be limited to written, spoken, visual, and all forms of electronic and/or social media, including symbols and other illustrations.

(i) The word "Plaintiffs" shall mean plaintiffs Kathryn and Clayton Carmen in the above-captioned action.

(j) The word "Defendant," shall mean defendant Amy Carmen above-captioned action.

(k) The word "Decedent" shall mean decedent Eric H. Carmen.

(l) The word "Trust" shall mean the July 11, 2007 Trust Agreement for the Carmen Family Gift Trust and all trusts formed or created in relation thereto. The Term "Trust" shall also include the purported A&R Trust Agreement (as defined below) and any further .

(m) The word "A&R Trust Agreement" shall mean August 11, 2017 Amendment and Restatement of Trust Agreement.

(n) The word "Estate Planning" shall mean all Documents and Communications concerning Decedent's general estate planning, including without limitation, the Trust and A&R Trust Agreement.

(o) The word "Catalog" shall mean the music catalog of Decedent.

Please produce the following Documents in accordance with the instructions contained in the subpoena:

1. Produce any and all Documents and Communications between [REDACTED] Bank [REDACTED] and Decedent.

2. Produce any and all Documents and Communications between [REDACTED] Bank [REDACTED] and Defendant.

3. Produce any and all internal Documents and Communications between [REDACTED] Bank [REDACTED] its employees, agents, contractors, subcontractors and representatives, and any and all other [REDACTED] Bank [REDACTED] employees, agents,

contractors, subcontractors and representatives, pertaining to the Trust, A&R Trust, and/or Estate Planning.

4. Produce any and all Documents and Communications between [REDACTED] Bank [REDACTED] and Plaintiff(s).

5. Produce any and all Documents and Communications between [REDACTED] Bank [REDACTED] and any trustee, special trustee and/or successor trustee of the Trust and/or A&R Trust.

6. Produce any and all Documents and Communications between The [REDACTED] Bank [REDACTED] and any member of the investment committee, trust protector, beneficiary, and/or any other non-beneficiary of the Trust and/or A&R Trust.

7. To the extent not previously disclosed, produce any and all Documents and Communications between The [REDACTED] Bank [REDACTED] and any other Person(s) pertaining to the Trust, A&R Trust, and/or Estate Planning.

8. Produce copies of all Trust Documents, instruments, Communications, correspondences, and materials related in any way to the Trust, A&R Trust Agreement, and Estate Planning, which shall include, but not be limited to, copies of the following:

- a. copies of the Trust, including without limitation, any and all amendments, modifications, reformations, alterations thereto;
- b. copies of the A&R Trust Agreement, including without limitation, any and all amendments, modifications, reformations, alterations thereto;
- c. copies of all other instruments related to the Trust and/or A&R Trust Agreement;
- d. copies of any and all trusts created pursuant to the Trust and/or A&R Trust Agreement;
- e. all Documents, instruments, Communications, correspondences, and materials appointing and/or removing a trustee and/or successor trustee of the Trust and/or A&R Trust Agreement;
- f. all Documents, instruments, Communications, correspondences, and materials appointing and/or removing a member of the distribution committee, member of the investment committee, trust protector, beneficiary, and/or any other non-beneficiary under the Trust and/or A&R Trust Agreement;
- g. all Documents, instruments, Communications, correspondences, and materials related to the sale of the Catalog; and
- h. copies of all notices, Documents and Communications to beneficiary(ies) of the Trust and/or A&R Trust Agreement.

9. Produce a full reporting of all Trust assets, including a copy of any and all Documents that list all Trust assets, up to and including present date.

10. Produce a full accounting of all Trust transactions through present date.
11. To the extent not previously disclosed, produce a copy of any and all Documents that contain an accounting of all Trust transactions up to and including present date, which shall include an itemization of all receipts and distributions, including, but not limited to, all distributions to any beneficiary(ies) of the Trust.
12. Produce a copy of any and all Documents that relate to Trust accounts held, maintained and/or otherwise involving The [REDACTED] Bank [REDACTED] from commencement date through present date.

Respectfully submitted,

/s/ Richard N. Selby, II

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